



# 2022-2023 SENIOR COMPLIANCE OFFICER FORUMS

These forums sponsored by



**An opportunity to exchange ideas freely, dissect problems, and discuss important issues with peers.**

## SESSIONS DATES

Session 1: December 1, 2022

Session 2: February 2, 2023

Session 3: June 6, 2023

Session 4: September 12, 2023

## TBA BARRETT TRAINING CENTER

211 Athens Way, Ste 100  
Nashville, TN 37228

## DAILY SCHEDULE

8:30 a.m.	Registration
9:00 a.m.	Program begins
Noon	Lunch, provided
1:00 p.m.	Program resumes
3:00 p.m.	Adjourn

## Program Description

As the senior compliance officer for your bank, you need to stay up-to-date on all compliance issues affecting financial institutions. Your job requires many skills, much experience, and a lot of research and hard work—and no one knows that better than other people in your position. The *2022-2023 Senior Compliance Officer Forums* provide an opportunity to benefit from the skills, experience, expertise, and perspective of your peers.

Facilitated by Connie Edwards, CRCM, director, fair and responsible lending at Loan Depot, these forums will bring together senior compliance officers in a small-group setting to exchange ideas freely, dissect challenges openly, and discuss solutions that can improve the performance of your bank in the area of compliance.

These meetings are held on a quarterly basis at the TBA Barrett Training Center in Nashville.

**The cost of membership in the annual forums is \$1,200 and includes all four 2022-2023 sessions.**

## Topics Discussed

Agenda discussions are based on current topics and issues. The following are some of the issues addressed in past forums:

- Compliance exam issues—both lending and deposit
- Possible regulator hot topics
- Compliance challenges/successes
- Boards of directors and officers issues
- BSA exam challenges/topics
- Compliance officer education and resources
- Current and proposed regulatory agency compliance issues
- Implementation conversations of new final regulations
- Networking opportunities with your compliance peers

## Continuing Education Credit



Attendees qualify for 5.5 hours of Continuing Professional Education (CPE) credit in the area of personnel/HR. In accordance with the standards of the National Registry of CPE Sponsors, CPE credits have been granted based on a 50-minute hour. For more information regarding administrative policies or complaints, please contact our offices at 615-244-4871.

# 2022-2023 SENIOR COMPLIANCE OFFICER FORUMS

## Meet Your Facilitator



**Connie Edwards, CRCM**, is the director, fair and responsible lending in the Legal and Compliance Department at Loan Depot. She has more than two decades of in-depth experience in ensuring community bank organizational policies and procedures that comply with applicable state and federal laws and regulations. Her areas of expertise include development and implementation of risk-based compliance audit programs, in addition to a wide range of legal and regulatory affairs knowledge in both private and corporate practice environments. Edwards previously served as president and CEO of her own compliance consulting practice Banc Compliance Group, LLC, where she worked extensively with institutions regulated by the Federal Reserve's Atlanta and St. Louis District offices; the Office of the Comptroller of the Currency; and the Federal Deposit Insurance Corporation.

## Dates and Location

- Session 1: December 1, 2022
- Session 2: February 2, 2023
- Session 3: June 6, 2023
- Session 4: September 12, 2023

TBA Barrett Training Center  
211 Athens Way, Ste 100  
Nashville, TN 37228-1381



The meeting location is a designated nonsmoking facility and property.

## Enrollment Fees for Four-Session Forum

Registration - Includes all four sessions	\$1,200
After November 17, 2022	\$1,250

The cost of membership in the annual forums is \$1,200 and includes all four 2022-2023 sessions. Registration deadline is November 17. Anyone added to the forums after that date will incur an additional \$50 late fee. Institutions withdrawing after that date will forfeit payment. Substitutions are welcome.

Fee covers instructional materials, continental breakfast, refreshment breaks, and lunch for each forum. No written confirmation of program registration will be sent from the TBA.

## Additional Information

No advance preparation or prerequisites required.

Visit [TNBankers.org/general-event-information](http://TNBankers.org/general-event-information) for information on hotels, attire, special needs, cancellation policy, inclement weather policy, continuing education credit information, photo policy, and antitrust policy.

## For more information about this program, contact:

### Sheena Frech

Director of Education  
[sfrech@TNBankers.org](mailto:sfrech@TNBankers.org)  
Phone: 615-244-4871 or 800-964-5525  
[www.TNBankers.org](http://www.TNBankers.org)



## 2022-2023 Senior Compliance Officer Forums TBA Barrett Training Center, Nashville

### SESSIONS

- Session 1: December 1, 2022
- Session 2: February 2, 2023
- Session 3: June 6, 2023
- Session 4: September 12, 2023

Please print or type.

Name \_\_\_\_\_  
Company \_\_\_\_\_  
Address \_\_\_\_\_  
City \_\_\_\_\_ State \_\_\_\_\_ Zip \_\_\_\_\_  
Contact \_\_\_\_\_  
Phone \_\_\_\_\_ Email \_\_\_\_\_

Must be included.  
For educational tracking only.

SS# \_\_\_\_\_

Registration for all four sessions	\$1,200
After November 17, 2022	\$1,250

TOTAL ENCLOSED \$ \_\_\_\_\_

Method of payment:  VISA  Master Card  Check

(Make checks payable to Tennessee Bankers Association)

CK# \_\_\_\_\_

Card # \_\_\_\_\_ Exp Date \_\_\_\_\_

Name as appears on card (please print) 3 digits on back of card \_\_\_\_\_

Card billing address \_\_\_\_\_

City \_\_\_\_\_ State \_\_\_\_\_ Zip \_\_\_\_\_

Signature of cardholder \_\_\_\_\_

### RETURN COMPLETED FORM AND PAYMENT TO:

Tennessee Bankers Association  
ATTN: Sheena Frech  
PO Box 306377 • Nashville, TN 37230-6377  
Phone: 615-244-4871 or 800-964-5525

To register for this forum, email this form to Sheena Frech at [sfrech@tnbankers.org](mailto:sfrech@tnbankers.org)