



2022-2023 SENIOR COMPLIANCE OFFICER FORUMS



These forums sponsored by



**An opportunity to exchange ideas freely,
dissect problems, and discuss
important issues with peers.**

SESSIONS DATES

Session 1: December 1, 2022

Session 2: February 2, 2023

Session 3: June 6, 2023

Session 4: September 12, 2023

TBA BARRETT TRAINING CENTER

211 Athens Way, Ste 100
Nashville, TN 37228

DAILY SCHEDULE

8:30 a.m.	Registration
9:00 a.m.	Program begins
Noon	Lunch, provided
1:00 p.m.	Program resumes
3:00 p.m.	Adjourn

Program Description

As the senior compliance officer for your bank, you need to stay up-to-date on all compliance issues affecting financial institutions. Your job requires many skills, much experience, and a lot of research and hard work—and no one knows that better than other people in your position. The *2022-2023 Senior Compliance Officer Forums* provide an opportunity to benefit from the skills, experience, expertise, and perspective of your peers.

Facilitated by Connie Edwards, CRCM, director, fair and responsible lending at Loan Depot, these forums will bring together senior compliance officers in a small-group setting to exchange ideas freely, dissect challenges openly, and discuss solutions that can improve the performance of your bank in the area of compliance.

These meetings are held on a quarterly basis at the TBA Barrett Training Center in Nashville.

The cost of membership in the annual forums is \$1,200 and includes all four 2022-2023 sessions.

Topics Discussed

Agenda discussions are based on current topics and issues. The following are some of the issues addressed in past forums:

- Compliance exam issues—both lending and deposit
- Possible regulator hot topics
- Compliance challenges/successes
- Boards of directors and officers issues
- BSA exam challenges/topics
- Compliance officer education and resources
- Current and proposed regulatory agency compliance issues
- Implementation conversations of new final regulations
- Networking opportunities with your compliance peers

Continuing Education Credit



Attendees qualify for 5.5 hours of Continuing Professional Education (CPE) credit in the area of personnel/HR. In accordance with the standards of the National Registry of CPE Sponsors, CPE credits have been granted based on a 50-minute hour. For more information regarding administrative policies or complaints, please contact our offices at 615-244-4871.

2022-2023 SENIOR COMPLIANCE OFFICER FORUMS

Meet Your Facilitators



Dru Childress, CRCM, CPA, has advised financial services companies over the last fifteen years, primarily focused on consumer compliance risk management and independent compliance (including fair lending and HMDA) and BSA/AML consulting and testing services. Formerly with a "Big 4" accounting and advisory firm, he led several large-scale engagements related to assessing compliance risk management program effectiveness and exam preparedness for organizations supervised by the CFPB and the prudential regulators (FDIC, OCC, and Federal Reserve). Dru now serves an array of financial institutions, including banks, credit unions, mortgage companies, and fintechs across the country. Company sizes range from de novos and startups to large, top-10 U.S. financial institutions.



Joey Croom, CRCM, CAMS, has advised financial services companies over the last eighteen years, primarily focused on regulatory compliance risk management, independent compliance testing (including fair lending), and BSA/AML audits. Additionally, he has worked with troubled institutions to develop and help implement remediation plans to address outstanding enforcement actions. Formerly the Head of Compliance at a super-regional accounting and advisory firm, he managed numerous engagements related to assessing compliance program effectiveness for organizations supervised by the FDIC, OCC, and the FRB. Prior to his consulting work, Joey spent two years in a community bank as a Risk Management Compliance Specialist.

Enrollment Fees for Four-Session Forum

Registration - Includes all four sessions	\$1,200
After November 17, 2022	\$1,250

The cost of membership in the annual forums is \$1,200 and includes all four 2022-2023 sessions. Registration deadline is November 17. Anyone added to the forums after that date will incur an additional \$50 late fee. Institutions withdrawing after that date will forfeit payment. Substitutions are welcome. Fee covers instructional materials, continental breakfast, refreshment breaks, and lunch for each forum. No written confirmation of program registration will be sent from the TBA.

Dates and Location

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TBA Barrett Training Center
211 Athens Way, Ste 100
Nashville, TN 37228-1381



The meeting location is a designated nonsmoking facility and property.

Additional Information

No advance preparation or prerequisites required.

Visit TNBankers.org/general-event-information for information on hotels, attire, special needs, cancellation policy, inclement weather policy, continuing education credit information, photo policy, and antitrust policy.

For more information about this program, contact:

Sheena Frech

Director of Education

sfrech@TNBankers.org

Phone: 615-244-4871 or 800-964-5525

www.TNBankers.org



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TBA Barrett Training Center, Nashville

SESSIONS

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Please print or type.

Name _____

Company _____

Address _____

City _____ State _____ Zip _____

Contact _____

Phone _____ Email _____

Must be included.
For educational tracking only.

SS# _____

Registration for all four sessions	\$1,200
After November 17, 2022	\$1,250

TOTAL ENCLOSED \$ _____

Method of payment: ☐ VISA ☐ Master Card ☐ Check

(Make checks payable to Tennessee Bankers Association)

CK# _____

Card # _____ Exp Date _____

Name as appears on card (please print) 3 digits on back of card _____

Card billing address _____

City _____ State _____ Zip _____

Signature of cardholder _____

RETURN COMPLETED FORM AND PAYMENT TO:

Tennessee Bankers Association

ATTN: Sheena Frech

PO Box 306377 • Nashville, TN 37230-6377

Phone: 615-244-4871 or 800-964-5525

To register for this forum, email this form to Sheena Frech at sfrech@tnbankers.org